# Syntax Analysis

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## Context-Free Grammar (CFG)

- terminals basic symbols from which strings are formed; also called *tokens*
- non-terminals syntactic variables that denote sets of strings
- start symbol strings denoted by the start symbol is the language generated by the grammar
- productions  $\langle head \rangle \rightarrow \langle body \rangle$ or or or  $\langle left side \rangle ::= \langle right side \rangle$

# Context-Free Grammar for Simple Expressions

- $E \rightarrow E + T | E T | T$ T  $\rightarrow$  T \* F | T / F | F F  $\rightarrow$  ( E ) | **id**
- E, T, and F are *non-terminals*
- E is the *start symbol*
- +, -, \*, /, (, ), and **id** are *terminals*
- E is an abbreviation for Expression (sometimes referred to as Expr)
- T is an abbreviation for Term
- F is an abbreviation for Factor
- Vertical bar ( | ) indicates alternate right sides and is equivalent to writing multiple productions, as shown below for F  $\rightarrow$  ( E ) | id
  - $F \rightarrow (E)$
  - $F \rightarrow id$
- The right arrow (  $\rightarrow$  ) can be read as "can have the form of"

#### CFG Notational Conventions (1 of 3)

- Terminals
   Lowercase letters early in alphabet (a, b, c, ...)
   Operators
   Punctuation
   Digits
   Boldface string denoting terminal symbols (such as id and if)
- Non-terminals Uppercase letters early in alphabet (A, B, C, ...)
   S is usually the start symbol
   Lowercase italic names (*expr, stmt,* ...)
   E, T, F

#### CFG Notational Conventions (2 of 3)

- Grammar symbols (either non-terminals or terminals)
- Possibly empty strings of terminals
- Uppercase letters late in the alphabet (X, Y, Z)
- Lowercase letters late in the alphabet (u, v, ..., z)
- Possibly empty strings of grammar symbols
- Lowercase Greek letters ( $\alpha$ ,  $\beta$ ,  $\gamma$ , ...)

#### CFG Notational Conventions (3 of 3)

- Set of productions with a common head
- $\begin{array}{l} \mathsf{A} \rightarrow \alpha_1 \text{, } \mathsf{A} \rightarrow \alpha_2 \text{, ..., } \mathsf{A} \rightarrow \alpha_k \text{ are called} \\ \text{A-productions and may be rewritten as} \\ \text{A} \rightarrow \alpha_1 \mid \alpha_2 \mid ... \mid \alpha_k \end{array}$
- Unless otherwise specified, the head of the first production is the start symbol

#### CFG Derivation

- *Derivation* is the process of using productions as rewriting rules
- If  $A \rightarrow \gamma$  is a production, then  $\alpha A \beta \Rightarrow \alpha \gamma \beta$

where  $\Rightarrow$  means "derives in one step"

- $\Rightarrow^*$  means "derives in zero or more steps"
- $\Rightarrow^+$  means "derives in one or more steps"

# Leftmost and Rightmost Derivations

- In *leftmost derivations*, the leftmost non-terminal in each sentential is always chosen.
- This is denoted by  $\alpha \Rightarrow_{Im} \beta$
- In *rightmost derivations*, the rightmost non-terminal in each sentential is always chosen.
- These are also called *canonical derivations*
- This is denoted by

 $\alpha \Rightarrow_{\rm rm} \beta$ 

#### Leftmost & Rightmost Derivation of –(id+id)

 Given the grammar: E → E + E | E \* E | - E | (E) | id, derive -(id+id)

• 
$$E \Rightarrow_{Im} -E \Rightarrow_{Im} -(E) \Rightarrow_{Im} -(E + E) \Rightarrow_{Im} -(id + E) \Rightarrow_{Im} -(id + id)$$

•  $E \Rightarrow_{rm} -E \Rightarrow_{rm} -(E) \Rightarrow_{rm} -(E + E) \Rightarrow_{rm} -(E + id) \Rightarrow_{rm} -(id + id)$ 

### Ambiguity in Leftmost Derivation of id+id\*id

- Given the grammar: E → E + E | E \* E | E | (E) | id, derive id+id\*id
- Two distinct leftmost derivations exist:

• 
$$E \Rightarrow_{Im} E + E \Rightarrow_{Im} id + E \Rightarrow_{Im} id + E * E \Rightarrow_{Im} id + id * E \Rightarrow_{Im} id + id * id$$

- $E \Rightarrow_{Im} E * E \Rightarrow_{Im} E + E * E \Rightarrow_{Im} id + E * E \Rightarrow_{Im} id + id * E \Rightarrow_{Im} id + id * id$
- Therefore, this grammar is ambiguous

#### Translation of a regex into a CFG

• The regex: ba\*bba

and the context-free grammar:

$$A_0 \rightarrow bA_1$$
  

$$A_1 \rightarrow aA_1 \mid A_2$$
  

$$A_2 \rightarrow bba$$

derive the same language

#### Balanced parentheses

• The context-free grammar:

 $A \rightarrow (A) A \mid \epsilon$ 

derives any number of balanced parentheses

- This cannot be derived using a regex
- Colloquially, we say that "a finite automata cannot count"

## Ambiguous else matching

- stmt → if expr then stmt | if expr then stmt else stmt | other
- The above grammar is ambiguous
- if  $E_1$  then  $S_1$  else if  $E_2$  then  $S_2$  else  $S_3$
- The above sentence's derivation is *un*ambiguous
- if  $E_1$  then if  $E_2$  then  $S_1$  else  $S_2$
- The above sentence's derivation is ambiguous
- With which **then** does the **else** match?

## Rewritten if-then-else grammar

- stmt → matched\_stmt | open\_stmt
- matched\_stmt → if expr then matched\_stmt else matched\_stmt | other
- open\_stmt → if expr then stmt | if expr then matched\_stmt else open\_stmt
- Idea: stmt between then and else cannot end with an unmatched (or open) then
- The above grammar is unambiguous

#### Elimination of Left Recursion

•  $A \rightarrow A\alpha \mid \beta$ 

can be rewritten as

 $\begin{array}{l} A \rightarrow \beta A' \\ A' \rightarrow \alpha A' \mid \epsilon \end{array}$ 

# Left Factoring

•  $A \rightarrow \alpha \beta_1 \mid \alpha \beta_2$ 

can be rewritten as

 $\begin{array}{l} A \rightarrow \alpha A' \\ A' \rightarrow \beta_1 \mid \beta_2 \end{array}$ 

- This refactoring defers the decision so it can be made when the input symbol is available
  - Useful in predictive, or top-down, or recursive descent parsers

## **Top-Down Parsing**

• Starting with the left-recursive grammar:

 $\begin{array}{c} \mathsf{E} \rightarrow \mathsf{E} + \mathsf{T} \mid \mathsf{T} \\ \mathsf{T} \rightarrow \mathsf{T} * \mathsf{F} \mid \mathsf{F} \\ \mathsf{F} \rightarrow (\mathsf{E}) \mid \mathsf{id} \end{array}$ 

• After applying the elimination of left recursion transformation we have:

```
\begin{array}{c} \mathsf{E} \rightarrow \mathsf{T} \, \mathsf{E}' \\ \mathsf{E}' \rightarrow + \mathsf{T} \, \mathsf{E}' \mid \varepsilon \\ \mathsf{T} \rightarrow \mathsf{F} \, \mathsf{T}' \\ \mathsf{T}' \rightarrow \mathsf{F} \, \mathsf{T}' \\ \mathsf{T}' \rightarrow * \, \mathsf{F} \, \mathsf{T}' \mid \varepsilon \\ \mathsf{F} \rightarrow (\mathsf{E}) \mid \mathsf{id} \end{array}
```

• This is suitable for a top-down parser or for producing a leftmost derivation

#### Example of Recursive-Descent Parser

- Go over recursiveDescentParser.c
- Show the parse trees of

using the grammars from before and after applying the "elimination of left recursion" transformation